

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

50
JCWSCS 3 0 MAR 2004

MAR-30-2004 09:40

FROM-Campbell Stephenson Ascolese LLP

5124395099

T-210 P.001

F-633



CAMPBELL
STEPHENSON
ASCOLESE LLP

4807 Spicewood Springs Road
Building 4, Suite 201
Austin, Texas 78759
T: 512-439-5080
F: 512-439-5099

FACSIMILE COVER SHEET

To:	Office of Initial Patent Examination's Filing Receipt Corrections	From:	Eric A. Stephenson
Fax:	(703) 746-9195	Date:	March 30, 2004
Phone:	(512) 439-5082	Pages:	7 (including cover sheet)
US Application No.: 10/639,622			
Re:	Filing Date: October 29, 2003	Our ref:	VRT0093US

Applicant(s): Narasimha R. Valiveti; Ronald S. Karr; Randall K. Shingai;
Assignee: VERITAS Operating Corporation
Title: DISTRIBUTION OF DATA VOLUME VIRTUALIZATION
Application No.: 10/696,622 Filing Date: October 29, 2003
Examiner: Unassigned Group Art Unit: 2186
Docket No.: VRT0093US Confirmation No.: 5515

The following documents are being transmitted to you via facsimile for the above-identified application:

- (1) This Fax Cover Sheet (1 page)
- (2) Request for Corrected Filing Receipt (2 pages)
- (3) Copy of Filing Receipt with Correction noted (2 pages)
- (4) Copy of Declaration as Filed (2 pages)

*** CERTIFICATE OF FACSIMILE TRANSMISSION ***
I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office, Fax Number (703) 746-9195 on <u>March 30, 2004</u> Rosalind D. Donaldson
Type or print name of person signing certification <u>Rosalind D. Donaldson</u>
Signature

Respectfully submitted,

Eric Stephenson
Attorney for Applicant(s)
Reg. No. 38,321

If you do not receive all pages, please call (512) 439-5082.

THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS INTENDED ONLY FOR THE PERSONAL AND CONFIDENTIAL USE OF THE DESIGNATED RECIPIENT(S) NAMED ABOVE. THIS MESSAGE MAY BE AN ATTORNEY-CLIENT COMMUNICATION, AND AS SUCH IS PRIVILEGED AND CONFIDENTIAL. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT OR AN AGENT RESPONSIBLE FOR DELIVERING IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT YOU HAVE RECEIVED THIS DOCUMENT IN ERROR AND THAT ANY REVIEW, DISSEMINATION, DISTRIBUTION OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US BY MAIL. THANK YOU.

PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Narasimha R. Valiveti
Ronald S. Karr
Randall K. Shingai
Gopal Sharma

Assignee: VERITAS Operating Corporation

Title: DISTRIBUTION OF DATA VOLUME VIRTUALIZATION

Application No.: 10/696,622 Filing Date: October 29, 2003

Examiner: Unassigned Group Art Unit: 2186

Docket No.: VRT0093US Confirmation No.: 5515

Austin, Texas
March 30, 2004

VIA FACSIMILE 703-746-9195

Office of Initial Patent Examination's
Filing Receipt Corrections

REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir:

Applicants hereby request the Commissioner For Patents to correct the Official Filing Receipt for the above-identified patent application as follows:

- ☐ 1. Correct the Filing Date
- ☒ 2. Correct Inventor Name: Third inventor should be **Randall**
(instead of *Rahdall*)
- ☐ 3. Correct Title
- ☐ 4. Correct Information Referencing Related Case
- ☒ 5. Correct Attorney Docket Number: Should be **VRT0093US**
(instead of *VTR0093US*)
- ☐ 6. Other: _____

-1-

Application No. 10/696,622

PATENT

This Request is submitted for the following reasons:

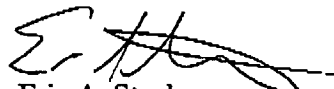
Attached is a copy of the Filing Receipt having the above error and the change noted thereon, as well as a copy of the Declaration as filed. Therefore, it is respectfully requested that a corrected Filing Receipt be issued.

Because this was not an error on the part of Applicants, Applicants believe no fee is required. However, the Commissioner is hereby authorized to charge any fee that may be required for this correction to Deposit Account No. 502306.

If this action does not lead to issuance of a corrected Official Filing Receipt as requested, please contact the undersigned.

*** CERTIFICATE OF FACSIMILE TRANSMISSION ***
I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office,
Fax Number (703) 746-9195 on <u>March 30, 2004</u>
<u>Rosalind D. Donaldson</u>
Type or print name of person signing certification
<u>Rosalind D. Donaldson</u>
Signature

Respectfully submitted,



Eric A. Stephenson
Attorney for Applicant(s)
Reg. No. 38,321
Telephone: (512) 439-5093
Facsimile: (512) 439-5099

COPY

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address COMMISSIONER FOR PATENTS
 PG Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/696,622	10/29/2003	2186	942	VTR0093US VRT0093US	2	20	5

Eric A. Stephenson
 CAMPBELL STEPHENSON ASCOLESE LLP
 Building 4, Suite 201
 4807 Spicewood Springs Road
 Austin, TX 78759

CONFIRMATION NO. 5515

FILING RECEIPT

100000000011791194*

Date Mailed: 01/29/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Narasimha R. Valieti, Sunnyvale, CA;
 Ronald S. Karr, Palo Alto, CA;
~~Randall K. Shigai~~, San Jose, CA;
 Gopal Sharma, San Jose, CA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 01/29/2004

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

Distribution of data volume virtualization

COPY

Preliminary Class
711

COPY

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

COPY

COPY**DECLARATION FOR PATENT APPLICATION
AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below adjacent to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of subject matter (process, machine, manufacture, or composition of matter, or an improvement thereof) which is claimed and for which a patent is sought by way of the application entitled:

DISTRIBUTION OF DATA VOLUME VIRTUALIZATION

which (check) ☒ is attached hereto.
☐ and is amended by the Preliminary Amendment attached hereto.
☐ was filed on as Application Serial No.
☐ and was amended on (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information, which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
Number	Country	Day/Month/Year Filed	Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

Provisional Application Number	Filing Date

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information, which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56, which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status (patented, pending, abandoned)

COPY

COPY

Attorney Docket No.: VRT0093US

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith:

Joseph FitzGerald (33,881); John Brigden (40,530); Julie Stephenson (41,330) and

Marc R. Ascolese (42,268); Brenna A. Brock (48,409); Sam G. Campbell (42,381);
Justin M. Dillon (42,486); D'Ann Rifai (47,026); Eric A. Stephenson (38,321).

Please address all correspondence and telephone calls to:

Eric A. Stephenson
CAMPBELL STEPHENSON ASCOLESE LLP
4807 Spicewood Springs Road
Building 4, Suite 201
Austin, Texas 78759
Telephone: 512-439-5093
Facsimile: 512-439-5099

I declare that all statements made herein of my own knowledge are true, all statements made herein on information and belief are believed to be true, and all statements made herein are made with the knowledge that whoever, in any matter within the jurisdiction of the Patent and Trademark Office, knowingly and willfully falsifies, conceals, or covers up by any trick, scheme, or device a material fact, or makes any false, fictitious or fraudulent statements or representations, or makes or uses any false writing or document knowing the same to contain any false, fictitious or fraudulent statement or entry, shall be subject to the penalties including fine or imprisonment or both as set forth under 18 U.S.C. 1001, and that violations of this paragraph may jeopardize the validity of the application or this document, or the validity or enforceability of any patent, trademark registration, or certificate resulting therefrom.

Full name of sole (or first joint) inventor: Narasimha R. Valiveri

Inventor's Signature: N. Narasimha Rao Date: 28th Oct 2003

Residence: Sunnyvale, California 94085

Post Office Address: 395 Ano Nuevo Avenue, Apt #413
Sunnyvale, California 94085

Citizenship: India

Full name of second inventor: Ronald S. Karr

Inventor's Signature: Ronald S. Karr Date: 10/28/2003

Residence: Palo Alto, California 94301

Post Office Address: 333 Ramona Street
Palo Alto, California 94301

Citizenship: USA

Full name of third inventor: Randall K. Shigai

Inventor's Signature: Randall K. Shigai Date: 10/28/03

Residence: San Jose, California 95129

Post Office Address: 4824 Miramar Avenue
San Jose, California 95129

Citizenship: USA

Full name of fourth inventor: Gopal Sharma

Inventor's Signature: Gopal Sharma Date: 28th Oct 2003

Residence: 1288 Mayberry Lane
San Jose, California 95131

Post Office Address: 1288 Mayberry Lane
San Jose, California 95131

Citizenship: India

COPY